

JUN. 1.2004 11:34AM TTC-PA 650-326-2422 0 1 JUN 2004

NO.818 P.1/10

PTO/SB/21 (08-03)

| | | Applic | ation Number | 09/724.5 | 567 | | |
|---|----------|------------------------|---|---|-------------------|--|--|
| TRANSMITTAL | | | Filing Date | | November 28, 2000 | | |
| FORM | | | lamed Inventor | Schenk, | | | |
| (to be used for all correspondence after initial filing) | | Art Un | | 1647 | | | |
| | | Exami | ner Name | Christon | her Nichols | | |
| Total Number of Pages in This | 10 | | ey Docket Number | <u> </u> | | | |
| Submission | | | | 15270J-005911US | | | |
| <u> </u> | ENC | S (Check all that appl | i | · · · · · · · · · · · · · · · · · · · | | | |
| Fee Transmittal Form | ☐ Drawin | g(s) | | After Allowance Communication to Group | | | |
| Fee Attached | Licens | ing-relate | d Papers | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendment/Reply | Petition | ו | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | |
| After Final | | n to Conv onal App | | Proprietary Information | | | |
| Power | | | ey, Revocation espondence Address | Status Letter | | | |
| Extension of Time Request | | | mer | Other Enclosure(s) (please identity below): | | | |
| ☐ Express Abandonment Request ☐ CD, Ni☐ Information Disclosure Statement | | | | Request for Corrected Filing Receipt (2 pages); marked up copy of Filing Receipt mailed 01/29/02 (2 pages); copy of Request to Correct Emily Status (3 pages); copy of Supplemental Application Data Sheet (1 page); and, page 2 of Examiner's Amendment mailed 03/03/04. | | | |
| Certified Copy of Priority Document(s) Rema | | | The Commissioner is authorized to charge any additional fees to Deposits Account 20-1430. | | | | |
| Response to Missing Parts/ Incomplete Application | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1,53 | | | | | | | |
| | | | ICANT, ATTORNEY, | OR AGEN | NT. | | |
| Firm Townsend and T or Rosemanic 1. Ce | | nd Crew | | e. 42,397 | | | |
| Individual Rosemane L. Ce | | • | | p. 42,38/ | | | |
| Signature & Coll | | | | | | | |
| Date June 1, 2004 | | | | | | | |
| | С | ERTIFIC | ATE OF MAILING | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Fax No. 1-703-746-9195 on the date set forth below. | | | | | | | |
| Typed or printed name Aubrett Baker | | | . <u> </u> | | | | |
| Signature Cultur | d 130 | e | · | Date | June 1, 2004 | | |

60220084 v1

I hereby certify that this correspondence is being facsimile transmitted to OPE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 to facsimile number 1-703-746-9195

on_ June 1, 2004

By: Asserbarie L. Celli

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

09/724,657

Confirmation No. 6104

Applicant Filed

Christopher J. Nichols November 28, 2000

TC/A.U.

1600/1647

Examiner

Christopher J. Nichols

Docket No.

15270J-005911US

Customer No.

20350

Office of Initial Patent Examination
United States Patent and Trademark Office

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Issuance of a corrected filing receipt is respectfully requested. Attached hereto is a marked-up copy of the Filing Receipt mailed by the Patent Office on January 29, 2002.

Please delete "SMALL ENTITY" and replace it with "LARGE ENTITY." (See the copy of the Request to Correct Entity Status filed on May 19, 2004).

Please correct the Domestic Priority data as claimed by applicant. (See the copy of the Supplemental Application Data Sheet filed on May 24, 2003, attached hereto.)

SCHENK, Dale B. Application No. 09/724,953 Page 2

Add the following to the existing domestic priority data:

THIS APPLICATION IS ALSO A CON OF 09/585,817 06/01/2000 WHICH IS A CIP OF 09/580,015 05/26/2000

Please correct the Title. Delete "Pharmaceutical compositions and methods for treaztment of amyloid diseases" and replace it with "Methods of Treatment of Alzheimer's Disease." (See the copy of the Examiner's Amendment mailed March 3, 2004, attached hereto.)

Applicant believes no fee is required for submission of this request. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the previously noted deposit account.

Respectfully submitted,

Rosemarie L. Celli Reg. No. 42,397

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

RLC

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UNITED STATES PATENT AND TRADEMARK OFFICE

6:152705-005911US

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.G. 20221

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS | , |
|--------------------|-------------|--------------|---------------|---------------------|----------|------------|------------|---|
| 09/724,567 | 11/28/2000 | 1653 | 1154 | 15270J- 005911US | 16 | 45 | 5 | |

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon, If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dale B. Schenk, Burlingame, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/585,817 06/01/2900 -- WHICH-CLAIMS BENEFIT OF 00/437,010 06/01/1999

Foreign Applications

THIS APPLICATION IS A CONTINUATION OF 09/585,817 6/01/2000 WHICH IS A CONTINUATION-IN-PART OF 09/580,015 5/26/2000

If Required, Foreign Filing License Granted 04/02/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY ... LARGE ZHTITY

Title Methods of Treatment of Alzheimer's Disease

Pharmaceutical compositions and methods for treatment of amyloid diseases.

Preliminary Class

NO.818

11:35AM

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

JUN. 1.2004

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form, Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application, If 8 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

i hereby certify that this correspondence is being facsimile transmitted to the Patent and Tradeffierk Office, facsimile number 1-703-872-9306, on

May 19, 2004

PATENT

Attorney Docket No.: 15270J-005911US

Confirmation No.: 6104

Client Ref. No.: 209-US-NEW6C1

Application No.: 09/724,567

Applicant:

Dale B. Schenk

Filed:

November 28, 2000

TC/A.U.:

1600/1647

Examiner:

Christopher J. Nichols

Docket No.:

15270J-005911US

Customer No.:

20350

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST TO CORRECT ENTITY STATUS UNDER 37 C.F.R. §1.28(c)(1)(2)

Sir:

Applicant requests that the error of claiming small entity status be excused. Upon review of the Notice of Allowance and Issue Fee due mailed March 3, 2004 for the aboveidentified application, Applicant realized that small entity status for the instant application had been established in error. Applicant established small entity status and paid small entity fees in good faith. The small entity fees paid in the instant case are enumerated in the following table. All subsequent fees were paid as large entity fees.

Pursuant to 37 C.F.R. §1.28(c)(2)(ii), the following table itemizes the following:

- (1) Each particular type of fee that was erroneously paid as small entity along with the current fee for a large entity;
- (2) The small entity fee actually paid, and when;
- (3) The deficiency owed amount for each fee erroneously paid; and,
- (4) The total deficiency payment owed.

Application No. 09/724,567
Request to Correct Entity Status submitted May 19, 2004

| Type of Fee Erroneously Paid | Small Entity Fee Code | Small Entity Fee Actually Paid | Date Small Entity Fee Actually Paid | Large Entity Fee Code | Current Fee for a Large Entity | Deficiency Owed for Each Fee Erroneously Paid |
|--|-----------------------------|---|--|-----------------------------|---|---|
| Utility Filing Fee | 2001 | \$370 | 11/05/02 | 1001 | \$770 | \$400 |
| Claims in Excess of 20 | 2002 | \$495 | 11/05/02 | 1202 | \$990 | \$495 |
| Independent Claims | 2201 | \$84 | 11/05/02 | 1201 | \$168 | \$84 |
| Multiple Dependent Claims | 2203 | \$140 | 11/05/02 | 1203 | \$145 | \$5 |
| Surcharge - Late Filing Fee | 2051 | \$65 | 11/05/02 | 1051 | \$130 | \$65 |
| Extension for Reply Within Fifth Month | 2255 | \$980 | 11/05/02 | 2255 | \$1005 | \$25 |
| | | | | - | Total Deficiency Payment Owed | \$1074 |

For the foregoing reasons, Applicant requests that the error of claiming small entity status be excused.

Effective April 4, 2004

Application No. 09/724,567
Request to Correct Entity Status submitted May 19, 2004

The Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the previously noted deposit account.

Please contact the undersigned attorney with any questions regarding this request.

Respectfully submitted,

Rosemarie L. Celli Reg. No. 42,397

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

60218598 v1

Application/Control Number: 09/724,567

Art Unit: 1647

Page 2

DETAILED ACTION

Status of Application, Amendments, and/or Claims

- 1. As a courtesy to Applicant and upon review of the amendments that have obviated or rendered most certain rejections, finality is withdrawn and prosecution on the merits is hereby reopened.
- 2. The Amendment and Response filed 29 December 2003 has been received and entered in full. Claims 1-10, 12, 13, 15, 17, 20, and 26-57 have been cancelled. Claims 59-71 have been added. Claims 11 and 58 have been amended. Claims 11, 14, 16, 18, 19, 21-25, and 58-71 are under examination.
- 3. All Rejections and Objections not herein maintained are hereby withdrawn or moot do to amendments.
- The Terminal Disclaimer filed 11 February 2004 has been received and entered in full.

EXAMINER'S AMENDMENT

5. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

In the Title:

METHODS OF TREATMENT OF ALZHEIMER'S DISEASE